

## **WEST VIRGINIA CODE: §32-4-403**

### **§32-4-403. Filing of sales and advertising literature.**

Any prospectus, pamphlet, circular, form letter, advertisement or any other sales literature or advertising communication addressed or intended for distribution to prospective investors, including clients or prospective clients of an investment adviser, shall be filed with the commissioner before it is used, disseminated or distributed unless the security or transaction is exempted by section 402.